

811 KAR 2:065. Registration; racing requirements.

RELATES TO: KRS 230.610-230.770

STATUTORY AUTHORITY: KRS Chapter 13A

NECESSITY, FUNCTION, AND CONFORMITY: To regulate conditions under which Arabian, quarter horse and appaloosa racing shall be conducted in Kentucky. The function of this administrative regulation is to outline the requirements for horses entered to be raced.

Section 1. Registration Required. A horse shall not be entered or raced in this state unless:

- (1) Duly registered and named in the registry office of the American Quarter Horse Association of Amarillo, Texas or Appaloosa Horse Club, Inc., Moscow, Idaho; and
- (2) The registration certificate or racing permit issued by the American Quarter Horse Association or Appaloosa Horse Club, Inc., for the horse is on file with the racing secretary. The stewards may for good cause, in their discretion, waive this requirement if the horse is otherwise correctly identified to the steward's satisfaction.

Section 2. Ringers Prohibited. (1) A horse shall not be entered or raced in this state designated by a name other than the name under which it is currently registered with the American Quarter Horse Association of Amarillo, Texas or Appaloosa Horse Club, Inc., Moscow, Idaho. If a horse's name is changed by the American Quarter Horse Association or Appaloosa Horse Club, Inc., its former name shall be shown parenthetically in the daily race program the first three (3) times it races after such name change.

(2) A person shall not cause or permit the correct identity of a horse to be concealed or altered, nor shall he refuse to reveal the correct identity of a horse he owns, or which is in his care, to a racing official or member of the regular news media.

(3) A horse shall not race in this state without a legible lip tattoo number applied by agents of the American Quarter Horse Association of Amarillo, Texas or Appaloosa Horse Club, Inc., Moscow, Idaho. The stewards may for good cause, in their discretion, waive this requirement if the horse is otherwise correctly identified to the steward's satisfaction. The stewards shall require that a horse without a lip tattoo number be lip tattooed within a reasonably practical time.

(4) A horse shall not be entered or raced in this state if previously involved in a "ringer" case, and:

(a) A person having control of the horse knowingly entered or raced the horse while designated by a name other than the name under which it was registered with the American Quarter Horse Association or Appaloosa Horse Club, Inc.; or

(b) The person having control of such horse participated in or assisted in the entry or racing of some other horse under the name registered as belonging to the horse in question.

Section 3. Denerving. (1) A horse on which a neurectomy has been performed shall have this fact designated on its registration certificate or racing permit. It shall be the joint responsibility of the practicing veterinarian who performed the operation and the trainer of such denerved horse to insure that this fact is designated on the registration certificate or racing permit.

(2) A high nerved horse whose ulnar, radial, or median nerve has been either blocked or removed (known as high nerved), or whose volar or plantar nerve has been blocked or removed bilaterally, shall not be entered or raced in this state.

(3) A horse whose volar or plantar nerve has been removed unilaterally or which has had a posterior digital neurectomy (known as low nerve), may be permitted to race provided the denerving has been reported by the trainer to the stewards, and this horse has been approved for racing by the association veterinarian prior to being entered for a race.

(4) If a horse races in violation of this section and participates in the purse distribution, no protest

thereon shall be considered unless submitted in writing to the stewards within forty-eight (48) hours after the race.

(5) If a horse races in violation of this section and is claimed, no protest thereon shall be considered unless the successful claimant submits the protest in writing within forty-eight (48) hours requesting the claim be voided. If the claim is voided, the horse shall be returned to the owner who started it in the race, and the claim price shall be returned to the claimant.

(6) A list of all denerved horses shall be posted in the racing secretary's office. A person shall not report a horse as having had a neurectomy when in fact it has not.

Section 4. Bleeders. A horse known to have bled from its nostrils during a race or workout shall not be entered or raced without prior approval for racing by the association veterinarian. If a horse bleeds a second time, it shall be placed on the veterinarian's list and prohibited from racing for a minimum of six (6) months. If a horse bleeds a third time, it shall be prohibited from racing in this state.

Section 5. Health Certificate Required. A horse shall not be stabled on association grounds unless, within ten (10) days of arrival on association grounds, it has been examined by an accredited practicing veterinarian who certifies:

- (1) The identity of the horse;
- (2) Temperature at time of examination;
- (3) That to the best of his knowledge and belief the horse is free from any infectious or contagious disease or exposure thereto and observable extoparasites; and
- (4) Further certifies such other matters as may be required from time to time by the Kentucky State Veterinarian in Frankfort. Notice of this requirement shall accompany stall applications and be included in the condition book.

Section 6. Workouts. A horse shall not be scheduled in the paddock, or taken onto a track on association grounds for training or workout, other than during normal training hours posted by the association, without special permission of the stewards.

Section 7. Removal from Association Grounds. A horse shall not be removed from association grounds without prior approval of the stewards and unless released by the racing secretary. A dead or sick horse shall not be removed from association grounds without prior approval of the commission veterinarian.

Section 8. Age Restrictions. A quarterhorse or appaloosa horse five (5) years of age or older shall not be entered or raced in a race restricted to maidens. An Arabian horse six (6) years of age or older shall not be entered or raced in a race restricted to maidens. A horse thirteen (13) years of age or older shall not be entered or raced.

Section 9. Fillies and Mares Bred. A filly or mare that has been covered by a stallion shall be so reported to the racing secretary prior to being entered in a race. A list of all fillies and mares so reported, showing the names of stallions to which they have been bred, shall be posted in the racing secretary's office. A filly or mare that has been covered by a stallion shall not be entered in a claiming race unless a written release from the stallion owner is attached to its registration certificate indicating that the stallion service fee has been paid or satisfied.

Section 10. Serviceable for Racing. A horse shall not be entered or raced if it:

- (1) Is not in serviceable, sound racing condition. The stewards may at any time cause a horse on

association grounds to be examined by a qualified person.

(2) Is posted on a veterinarian's list, or steward's list, or starter's list or is suspended, in any racing jurisdiction.

(3) Has been administered any drug in violation of 811 KAR 2:095.

(4) Is blind or has seriously impaired vision in both eyes.

(5) Is not correctly identified to the satisfaction of the stewards.

(6) Is owned wholly or in part by, or is trained by, an ineligible person.

Section 11. Equipment. (1) Whips and blinkers shall be used consistently on a horse. Permission to change use of any equipment used on a horse in its last previous start shall be obtained from the stewards. A horse's tongue shall be tied down during a race with a clean bandage or gauze, if needed. A horse's bridle shall not weigh more than two (2) pounds. War bridles are prohibited. A horse shall not race in ordinary training shoes. Bar shoes may be used for racing only with permission of the stewards.

(2) Use on a horse either in a race or workout of any goading device, or chain, or spurs, or electrical or mechanical device, or appliance other than the ordinary whip which could be used to alter the speed of such horse, is prohibited.

(3) A whip that weighs more than one (1) pound or is longer than thirty (30) inches with one (1) popper shall not be used. Stingers or projections extending through the hole of a popper or any metal part on a whip shall not be permitted. Indiscriminate or brutal use on a horse of an ordinary whip, as determined by the stewards in their sole discretion, is prohibited.

Section 12. Sex Alteration. An alteration in the sex of a horse shall be reported by its trainer to the racing secretary promptly. The racing secretary shall note this fact on the horse's registration certificate. (Recodified from 812 KAR 1:065, 6-10-87; Am. 15 Ky.R. 2284; 16 Ky.R. 175; eff. 7-14-89.)